

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

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**CFD INVESTMENTS, INC.
2704 SOUTH GOYER ROAD
KOKOMO, IN 46902**

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	84.55%
Limit orders as percentage of total non-directed orders	5.41%
Other orders as percentage of total non-directed orders	10.04%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	55.86%
2. VIRTU Americas LLC	27.06%
3. G1 Execution Services, LLC	8.90%
4. New York Stock Exchange	2.59%
5. UBS Securities, LLC	2.53%
6. Two Sigma Securities, LLC	2.35%
7. Cboe EDGX U.S. Equities Exchange	0.36%
8. NASDAQ Execution Services, LLC	0.12%
9. State Street Global Markets, LLC	0.06%
10. CFD Investments	0.06%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	56.90%
Limit orders as percentage of total non-directed orders	25.56%
Other orders as percentage of total non-directed orders	63.47%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	28.59%
Limit orders as percentage of total non-directed orders	8.89%
Other orders as percentage of total non-directed orders	23.95%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	10.03%
Limit orders as percentage of total non-directed orders	4.44%
Other orders as percentage of total non-directed orders	1.80%

4. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	47.78%
Other orders as percentage of total non-directed orders	0.00%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.20%
Limit orders as percentage of total non-directed orders	2.22%
Other orders as percentage of total non-directed orders	5.39%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.13%
Limit orders as percentage of total non-directed orders	1.11%
Other orders as percentage of total non-directed orders	4.79%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	6.67%
Other orders as percentage of total non-directed orders	0.00%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	2.22%
Other orders as percentage of total non-directed orders	0.00%

9. State Street Global Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.07%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

10. CFD Investments

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.07%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	91.55%
Limit orders as percentage of total non-directed orders	3.71%
Other orders as percentage of total non-directed orders	4.74%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	55.49%
2. VIRTU Americas LLC	27.61%
3. G1 Execution Services, LLC	11.40%
4. Two Sigma Securities, LLC	2.13%
5. UBS Securities, LLC	1.79%
6. NASDAQ Execution Services, LLC	1.51%
7. Merrill Lynch, Pierce, Fenner & Smith Inc.	0.07%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	55.96%
Limit orders as percentage of total non-directed orders	27.78%
Other orders as percentage of total non-directed orders	68.12%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	27.68%
Limit orders as percentage of total non-directed orders	24.07%
Other orders as percentage of total non-directed orders	28.99%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	12.30%
Limit orders as percentage of total non-directed orders	3.70%
Other orders as percentage of total non-directed orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.33%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.73%
Limit orders as percentage of total non-directed orders	3.70%
Other orders as percentage of total non-directed orders	1.45%

6. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	40.74%
Other orders as percentage of total non-directed orders	0.00%

7. Merrill Lynch, Pierce, Fenner & Smith Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	1.45%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	91.82%
Limit orders as percentage of total non-directed orders	6.59%
Other orders as percentage of total non-directed orders	1.59%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	56.70%
2. VIRTU Americas LLC	27.20%
3. G1 Execution Services, LLC	9.77%
4. UBS Securities, LLC	2.08%
5. Two Sigma Securities, LLC	1.55%
6. NYSE Arca	1.06%
7. Cboe BZX U.S. Equities Exchange	0.44%
8. NASDAQ Execution Services, LLC	0.40%
9. Cboe EDGX U.S. Equities Exchange	0.35%
10. JANE STREET EXECUTION SERVICES	0.27%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	58.53%
Limit orders as percentage of total non-directed orders	35.57%
Other orders as percentage of total non-directed orders	38.89%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	27.79%
Limit orders as percentage of total non-directed orders	19.46%
Other orders as percentage of total non-directed orders	25.00%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	9.87%
Limit orders as percentage of total non-directed orders	10.07%
Other orders as percentage of total non-directed orders	2.78%

4. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.22%
Limit orders as percentage of total non-directed orders	0.67%
Other orders as percentage of total non-directed orders	0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.59%
Limit orders as percentage of total non-directed orders	0.67%
Other orders as percentage of total non-directed orders	2.78%

6. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	16.11%
Other orders as percentage of total non-directed orders	0.00%

7. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	6.04%
Other orders as percentage of total non-directed orders	2.78%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	6.04%
Other orders as percentage of total non-directed orders	0.00%

9. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	5.37%
Other orders as percentage of total non-directed orders	0.00%

10. JANE STREET EXECUTION SERVICES

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	16.67%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%